

PTO/SB/08A (08-03)

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STATEMENT BY APPLICANT**

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Sheet 1 of 30

**Complete if Known**

Application Number	10/770,353
Filing Date	02/02/2004
First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	35040.001C4

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,996,449	12/07/1976	Attanasio et al.	
		US- 4,104,721	01/01/1978	Markstein et al.	
		US- 4,120,030	10/10/1978	Johnstone	
		US- 4,168,396	09/18/1979	Best	
		US- 4,183,085	01/08/1980	Roberts et al.	
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		US- 4,471,216	09/11/1984	Herve	
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		US- 4,523,271	06/11/1985	Levien	
		US- 4,525,599	06/25/1985	Curran et al.	
		US- 4,558,176	12/10/1985	Arnold et al.	
		US- 4,562,305	12/31/1985	Gaffney, Jr.	
		US- 4,562,306	12/31/1985	Chou et al.	
		US- 4,577,289	03/18/1986	Cornford et al.	
		US- 4,598,288	07/01/1986	Yarbrough et al.	
		US- 4,599,489	07/08/1986	Cargile	
		US- 4,609,777	09/02/1986	Cargile	
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Sheet 2 of 30**U. S. PATENT DOCUMENTS**

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<i>Om</i>		US- 4,621,321	11/04/1986	Boebert et al.	
		US- 4,621,334	11/04/1986	Garcia	
		US- 4,633,388	12/30/1986	Chiu	
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		US- 5,537,546	07/16/1996	Sauter	
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		US- 5,999,914	12/07/1999	Blinn et al.	
		US- 6,049,671	04/11/2000	Slivka et al.	
		US- 6,059,838	05/09/2000	Fraley et al.	

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Examiner Name	John M. Winter
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		US- 4,562,305	12/31/1985	Gaffney, Jr.	
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		US- 4,598,288	07/01/1986	Yarbrough et al.	
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		US- 4,609,777	09/02/1986	Cargile	
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		US- 4,633,388	12/30/1986	Chiu	
		US- 4,644,493	02/17/1987	Chandra et al.	
		US- 4,866,769	09/12/1989	Karp	
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		US- 5,291,598	03/01/1994	Grundy	
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		US- 4,995,082	02/19/1991	Schnorr	

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		WO 98/58306 A1	12/23/1998	TECHWAVE, INC.		

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		AMMANN, Paul E., et al., "One-Representative Safely Analysis in the Non-Monotonic Transform Model," 1994	
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First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	35040.001C4

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OM		HARRIS, Jed, et al., "Bento Specification," Apple Computer, Inc., July 15, 1993	
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		"iPower's Data Security Approach," RSA Security, January 12, 1994	
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		"Enterprise Solutions Announces RSA Mail," RSA Security, January 12, 1994	
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Ch		"Higraeve Ships Secure Version of HyperACCESS/5," RSA Security, January 12, 1994	
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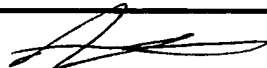
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Q		MOFFETT, Jonathan, et al., "Specifying Discretionary Access Control Policy for Distributed Systems," Computer Communications, Vol. 13, No. 9, Nov. 1990	
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9		BALDWIN, Robert W., "Naming and Grouping Privileges to Simplify Security Management in Large Databases," IEEE May 7, 1990	
		KEEFE, T.F., et al., "Multiversion Concurrency Control for Multilevel Secure Database Systems," IEEE May 7, 1990	
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		LIPSON, Steve, "Little black box 'blocks' illicit software copying"	

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**INFORMATION DISCLOSURE  
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Application Number	10/770,353
Filing Date	02/02/2004
First Named Inventor	Ganapathy Krishnan
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	35040.001C4

Sheet 18 of 30

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Ch		ESKICIOGLU, Almet M., et al., "An overview of multimedia content protection in consumer electronics devices," Purdue University,	
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V		THOMAS, Roshan K., "Implementing the Message Filter Object-Oriented Security Model without Trusted Subjects," George Mason University, Aug. 19, 1992	

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	<b>Art Unit</b>		3621
	<b>Examiner Name</b>		John M. Winter
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2		WAYNER, Peter., "Disappearing Cryptography," AP Professional 1996	
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/770,353		
		Filing Date	02/02/2004		
		First Named Inventor	Ganapathy Krishnan		
		Art Unit	3621		
		Examiner Name	John M. Winter		
Sheet	26	of	30	Attorney Docket Number	35040.001C4

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CM		HARDY, Norman, "The Keyko Architecture," Key Logic, Sept. 1985	
		MILLER, S.P., et al., "Kerberos Authentication and Authorization System," MIT, Oct. 27, 1988	
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		ROBINSON, D. et al., "Encoding Header Field for Internet Messages," Prime Computer, Inc., April 1990	

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Art Unit	3621
Examiner Name	John M. Winter
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V		KROL, Ed., "The Whole Internet Unser's Guide & Catalog," Sept. 1992	

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		WINSLOW, Ron, "For Software Firms, Question is how to cope with Piracy," The Wall Street Journal, April 12, 1985	
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		HANSEN, Stephen E., "automated system Monitoring and Notification with Swatch," Nov. 1, 1993	

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Ch		BELLOVIN, Steven M., "There Be Dragons," UNIX Security Sympisum, Aug. 1992	
		HALLER, Neil M., "The S/Key One-Time Password System," Bellcore	
		Varadhan, Kannan, "OARnet Security Procedures," OARnet, Spet. 15, 1992	
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V		KOHL, John., "The Kerberos Network Authentication Service," MIT, June 30, 1991	

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		MENEZES, Alfred J., "Handbook of Applied Cryptography," 1965	
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